

N.Kukhtarev, T.Kukhtareva ,J.C.Wang, M.Bayssie

Physics Department

Alabama A&M University

P.O.Box 1268, Normal, AL 35672

D.Frazier, B.Penn

Space Science Lab

NASA MSFC, AL 35812

nkukhtarev@aamu.edu

### OUTLINE

- Introduction
- Transient photovoltaic power conversion
- Photogalvanic effect
- Photoelectric pulses with laser illumination
- Photoelectric pulses with incoherent illumination (including sun light)
- Theoretical approach
- Actuation of mechanical movement
- Conclusion

### INTRODUCTION

Power conversion from light and heat is a well known important issue for many technical field. Photovoltaic and thermoelectric devices are commercially available for applications that require low voltage. Fundamental limit on voltage in a standard photovoltaic cell is imposed by the energy band gap, and is of the order of several volts. Efficiency of power conversion in photo and thermoelectric devices is now close to its maximum thermodynamic values.

For high-voltage and more efficient power conversion we suggest to use functionally graded materials and photogalvanic (or giant photovoltaic) effect, known for asymmetric materials.

We will discuss two methods of power generation:

- 1. With functionally graded materials (using time modulated and spatially structured optical or IR radiation);
- 2. Using homogeneous asymmetric materials with homogeneous illumination and photo- and thermogalvanic effects

## Transient Photovoltaic Power Conversion

#### TRANSIENT FUNCTIONALLY GRADED MATERIALS

### - Materials that spatially modulated (graded) by photoexitation or illuminated by structured IR pattern

The previous investigations showed that spatially modulated light (interference pattern) for can generate electrical current in the photosensitive materials.

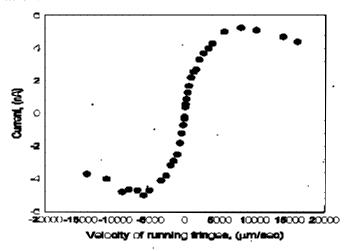
This effect of Holographic current or Holographic Photo Electro Motive Force (HPEMF) is used for a remote sensing of small vibrations and for non-contact nondestructive testing.

We have developed theoretical model of the transient thermoelectric effect for power conversion.

This theoretical model predicts that transient thermoelectric effect may be more efficient for power conversion than traditional (steady-state) thermoelectric effect especially for a high intensity of IR radiation

### PHOTOGALVANIC EFFECT

For asymmetric materials ( without center of inversion) photogalvanic effect may contribute to the photoelectric current. Photogalvanic (or anomalous or giant photovoltaic effect (PGE)) is generation of photocurrent in homogeneous material under homogeneous illumination.



**HOLOGRAPHIC CURRENT IN BSO CRYSTAL (ë = 514 nm)** 

The similar results we have observed in photorefractive semiconductor CdTe in IR



### PHOTOGALVANIC EFFECT

In ferroelectric materials (KNbO3, LiNbO3, BaTiO3) high voltage (~103-105 V/cm) can be generated with moderate light intensity (~100mW)

Originally PGE was discovered during investigation of an optical data storage using holographic methods.

In our experiments with ferroelectric materials KNbO3 :Fe and LiNbO3 :Fe illuminated by laser light we have observed optical pulsations At the same time pulsating electrical signals from the attached electrodes was also detected.

### OPTICAL PULSATIONS



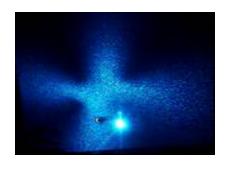


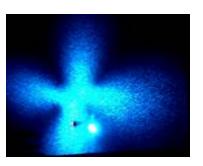


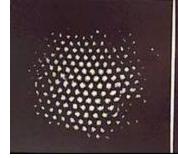


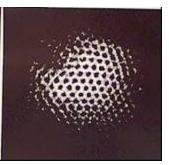
Time sequence of self-pulsations of back-scattered laser beam in photorefractive LiNbO<sub>3</sub>:Fe

For the focused laser beam we have observed spectacular selforganized patterns

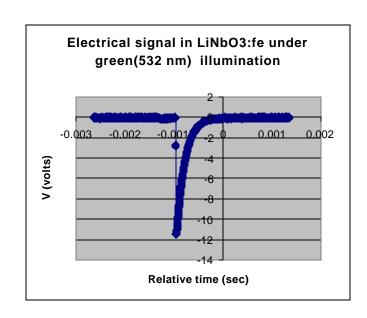


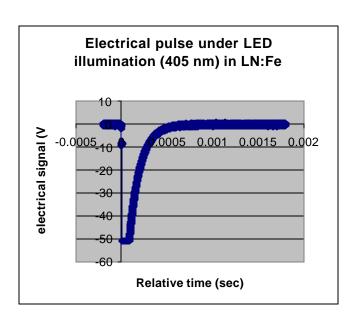




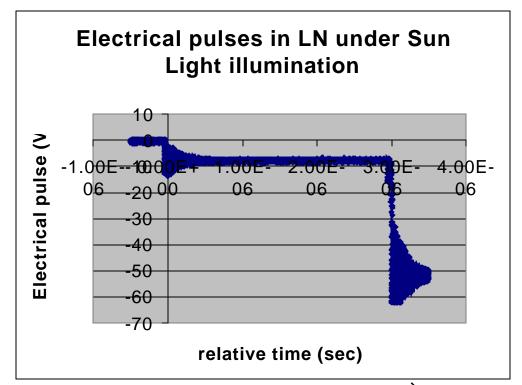


### **Electrical Pulsations**





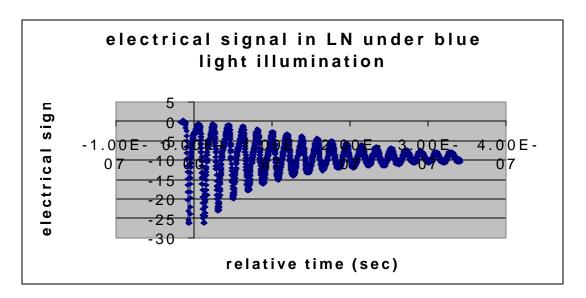
### **Electrical Pulsations**



Maximum signal on oscilloscope with the 1 MÙ input was about 60V. This means that instant power was about 3. 6 mW.

### **Electrical Pulsations**

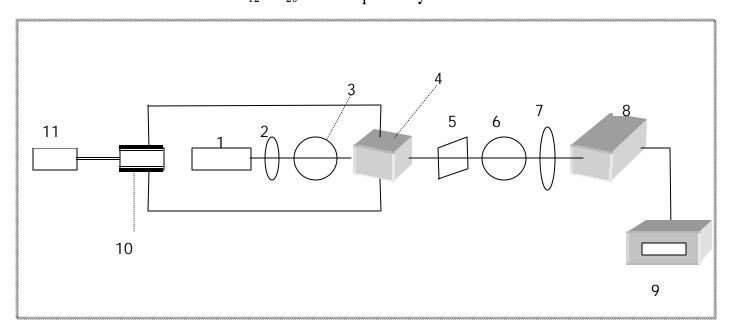
Electrical pulses look like decaying oscillation with a period ~1 nsec, total pulse width is about 0.5 msec. Repetition rate depends on intensity and varied from 10 to 0.1 HZ



Due to high resistivity of the LiNbO<sub>3</sub> crystal ( $\sim 10^9 - 10^{12} \, \text{Ù}$ ) pulses of current with 50 mA can generate high voltage ( $10^3 - 10^5 \, \text{V/cm}$ ) on the high impedance load.

## High Voltage Signal Confirmation

The high voltage signal has been confirmed by experiment with the standard modulator based on the  $Bi_{12}SiO_{20}$  electro-optical crystal.

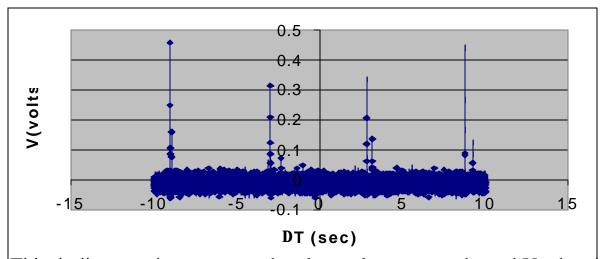


Scheme of Electro-Optical Modulator.

1-HeNe laser, 2-focusing lens, 3-Polarizer, 4 - BSO crystal, 5 - 1/4 wave plate, 6 - Analyser, 7 - Focusing lens, 8 - Photodetector, 9 - Digital oscilloscope, 10 - z-cut LiNbO<sub>3</sub>:Fe with electrodes,  $11 - Solid State Laser \lambda = 532$  nm).

## High Voltage Signal Confirmation

LiNbO<sub>3</sub> crystal as a power source for E-O Modulator.



This indicates, that generated pulse voltage was about kV, that is consistent with our estimations of the signals, expected on the high-resistance electro optic crystal.



To explain electrical pulsations we have suggested a model that include photogalvanic effect, pyroelectric and thermoelectric effects due to temperature changes.

We first formulate the general form of the starting equations describing known and expected results from the standard PR model. Taking into account growing interest to the spatiotemporal patterns in different fields of modern science, we present the equations in the appropriate three-dimensional (3-D) form.

The system of equations for photogenerated mobile charged carriers with concentration *n*, photosensitive ionized centers *N* (which are fixed in space) and electrostatic field *E* may be written as

### Theoretical Approach

$$J = J + e_0 e \frac{\partial E}{\partial t} + g \frac{\partial T}{\partial t} + j_{ph}$$
 $j = e m (E + \frac{k_B T}{e n} - b \nabla T)$ 

Here, e is the effective charge of the carrier, i is mobility of the mobile carriers, i bis the thermal generation rate and i is recombination coefficient, i is the dielectric constant of vacuum and i stands for the relative dielectric constants,  $k_B$  is the Bolzmann constant. For temperature:

$$\frac{\partial T}{\partial t} + \mathbf{a}I + D\nabla^2 T - (T - T^0)\mathbf{t}^{-1}$$

 $\boldsymbol{a}$  is an effective absorption coefficient, I is the intensity of input (heating) radiation, D is the thermodiffusivity,  $\boldsymbol{t}$  is the relaxation time for heat dissipation, and  $T^0$  is the ambient T of the sample.

### Theoretical Approach

#### For electric field:

$$\nabla(\mathbf{e}\mathbf{e}_{0}E) = e(N - N_{A} - n)$$

N is the total concentration of photosensitive centers,  $N_A$  is the concentration of compensating centers

For noncentrosymmetric materials the photogalvanic current term in the RHS of the first equation in :

$$j^p{}_i = \mathbf{g}_{ijk} F_j F_k^*$$

where  $\mathbf{g}$  is the photogalvanic tensor, and  $F_{j,k}$  are the electric-field components of the optical radiation.

These equations with the equations for the plasma discharge current on the crystalelectrode interface were analyzed for the case of LiNbO<sub>3</sub> crystal. Without going into details we can say that this model allows to describe appearance of the electrical pulses and to give estimation of the pulse width and the repetition rate.

# Actuation of Mechanical Movement of a macroscopic crystal by Low Power CW Laser

Recently we have demonstrated optical actuators based on photosensitive materials, controlled by moving holographic gratings that create moving electric-field pattern. As a step to more practical and robust actuator, we have realized single-beam optical scheme that allow us to transform energy of low-power CW laser into periodic mechanical movement of the macroscopic crystal sample. Basic principle of operation may be described as follows. Laser light from low power (P=100 mW) compact CW solid state laser ( $\ddot{e} = 532$  nm) illuminates z-cut crystal of LiNbO<sub>3</sub>:Fe creating photogalvanic current Separation of charges due to this current create a strong electric field (100 kV/cm) that apply to the crystal and to adjacent metal electrodes. This strong electric field induces electrical discharges on the crystal surface and in the gaps between crystals and metal electrodes. High voltage (several kV) was generated in the internal load during these electrical instabilities. When crystal was free-standing (inclined at 5° from the vertical position) between the electrodes, these electrical pulses are accompanied by mechanical movement of the illuminated crystal. Periodical movement of crystal is sustained by a balance between electrical and gravitational forces. Movement of the crystal was detected by the reflection of the pumping laser beam from the crystal surface

# Actuation of Mechanical Movement of a macroscopic crystal by Low Power CW Laser

We have observed periodical movement of the crystal LiNbO<sub>3</sub>:Fe (mass is 0.47 g) actuated by solid state CW laser. Oscillations with angular amplitude up to 0.6<sup>0</sup> was detected using capacitor type arrangement.

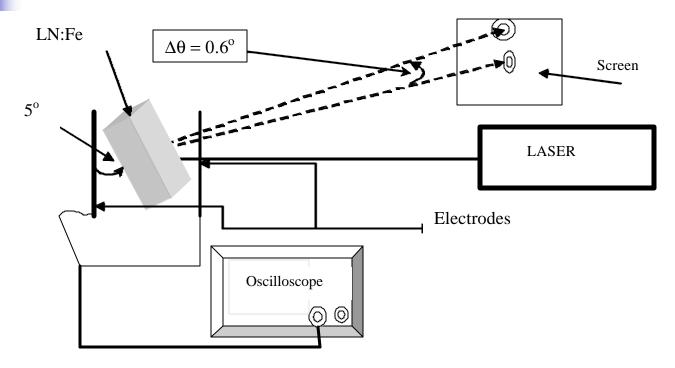




Oscillations of the reflected from the crystal surface optical signal (corner) and electrical signal as seen on the oscilloscope TDS3000B (for 1 MÙ input signals up to 15 V). Two pictures show that jump of reflected beam correlated with an electric pulse.



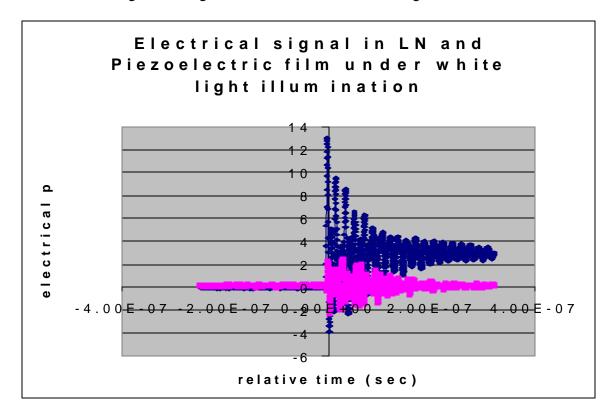
### **EXPERIMENTAL SETUP**



Amplitude of the angular oscillations was about  $0.6^{0}$  as measured by amplitude of oscillation of the reflected laser spot on the screen. This means that upper edge of the crystal "jumped" on the distance of 0.1 mm during electrical discharges. Inclination angle of the crystal was about  $5^{0}$ .



Another experiment to confirm mechanical movement of the LiNbO<sub>3</sub>: Fe crystal during light illumination has been done. Piezoelectric film has been attached to rear surface of the LiNbO<sub>3</sub>: Fe, the front surface has been illuminated by coherent (ë =532 nm) and incoherent white light. During illumination, two electrical signals have been observed.





#### CONCLUSIONS

- Compact pulsed photovoltaic power source can generate kVnsec electrical pulses from the CW low-power illumination (laser, LED, fiber illuminator, Sun-light).
- Peak power from the Sun-light is ~ 3mW from the cell 2 x 2 cm.
- It was demonstrated that this pulsed source can drive standard high-speed EO modulator and piezoelectric element, some power emitted as a RF pulse
- In a low vacuum it is expected, that electron beam (due to Ferroelectric Electron Emission (FEE)) can be used as a neutralizer in the ion truster
- Actuation of the mechanical movement of the 6.8g crystal was demonstrated using pulsed photovoltaic source



- The main publications describing the phenomena are :
- N.Kukhtarev, T.Kukhtareva, P.Banerjee, (invited paper) "A Unified Treatment of Radiation induced Photorefractive, Thermal, and Neutron Transmutation Gratings, Proceedings IEEE, November 1999.
- N.Kukhtarev, T.Kukhtareva, M. Bayssie D.Frazier, B.Penn, H.Abdelaem et al i Photogalvanic pulsator, CLEO '2002, Technical Digest
- N.Kukhtarev, T.Kukhtareva, M. Bayssie D.Frazier, B.Penn, H.Abdelaem et al Photo-induced Optical and Electrical Pulsations and Pattern Formation in Photorefractive crystals, Proc. of SPIE, Annual Meeting, Seattle, (2002)
- N.Kukhtarev, T.Kukhtareva, M.Edwards B.Penn, D.Frazier, H.Abdeldayem, P.P. Banerjee, T.Hudson, W.A.Friday, Photoinduced Optical and Electrical High-Voltage Pulsations and Pattern Formation in Photorefractive crystals, (invited paper), JNOPM, Vol.11, No.4 (2002)



### Acknowledgements

This work has been done with support of NASA Grant NAG8-1620, and HBSU/AAMU Universities Consortium